

1 **Zein nanoparticles for oral folic acid delivery**
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30 **Short title:** Zein nanoparticles and folic acid

31 **Abstract**

32 The aim of this work was to prepare and evaluate the capability of zein
33 nanoparticles for oral drug delivery. More particularly, in this work, the ability of
34 these nanoparticles to improve the oral bioavailability of folic acid is reported.
35 The nanoparticles were prepared by a desolvation process, followed by
36 purification via ultrafiltration and drying in a spray-drier apparatus. The resulting
37 nanoparticles displayed a mean size close to 200 nm with negative zeta
38 potential and a payload of 54 µg folic acid per mg nanoparticle. From the in vitro
39 release studies, it was observed that folic acid was only released from
40 nanoparticles in simulated intestinal conditions. In vivo biodistribution studies,
41 with radiolabelled or fluorescently marked nanoparticles, revealed that
42 nanoparticles remained within the gut and were capable of interacting with the
43 protective mucus layer of the jejunum. For the pharmacokinetic study, folic acid
44 was orally administered to rats as a single dose of 1 mg/kg.
45 The relatively oral bioavailability of folic acid, when encapsulated in zein
46 nanoparticles, was around 70%: two-times higher than the value obtained with
47 an aqueous solution of the vitamin. This fact might be explained by the
48 mucoadhesive properties of these nanoparticles.

49

50 **Key words:** zein; nanoparticles; folic acid; bioavailability; biodistribution;
51 mucoadhesion

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55 **Introduction**

56 Zein, the major storage protein of maize, is located in the “zein-bodies”, of
57 approximately 1 μm , that are distributed uniformly throughout the cytoplasm of
58 the corn endosperm cells between starch granules of 5–35 μm [1]. From a
59 physicochemical point of view, the key characteristic of zein is its insolubility in
60 water except at extreme pH conditions (e.g., pH 11 or above) or in presence of
61 high concentrations of urea, alcohol or anionic detergents [2]. This characteristic
62 is directly related with its composition in amino acids. Thus, zein is particularly
63 rich in glutamic acid (21–26%) and non-polar amino acids such as leucine
64 (20%), proline (10%) and alanine (10%), but it is deficient in basic and acidic
65 amino acids [3].

66 Actually, zein is not a single protein but a mixture of four main fractions (α -, β -,
67 γ -, and δ -zein) that differentiated in their solubility and sequence [1, 4]. Alpha-
68 zein is the most abundant (around 80% of total zein) and includes two prolamin
69 groups with apparent molecular weights of 24 and 27 kDa. Beta-zein consists of
70 a methionine-rich polypeptide of 17 kDa and constitutes up to 10% of the total
71 zein; whereas γ -zein is also composed of two peptides of 27 and 18 kDa.
72 Finally, δ -zein is a minor fraction and has a molecular weight of about 10 kDa
73 [1, 4, 5].

74 Because of its hydrophobic character and deficiency in essential amino acids
75 (e.g. lysine and tryptophan), the use of this corn protein in human food products
76 is limited. However, zein has been proposed as material for the manufacture of
77 a wide variety of products, including textile fibers for clothes [6], biodegradable
78 films and plastics used for packaging [7], coatings for food and pharmaceutical
79 dosage forms [8, 9] and scaffolds for tissue engineering [10].

80 In the last years, microparticles and nanoparticles from zein have also been
81 studied as carriers of non-polar compounds including vitamin D3 [11], curcumin
82 [12] or thymol [13]. Such devices were capable of protecting the loaded
83 compounds from stomach harsh conditions and providing a mechanism for their
84 controlled release [14, 15].

85 Folic acid (pteroyl-L-glutamic acid, vitamin B9) is a water soluble vitamin that is
86 essential during periods of rapid cell division and growth. It is implicated in cell
87 replication and has an important role in the one-carbon metabolic pathway,
88 essential for cardiovascular and neurological functions [16]. During periods of
89 inadequate folate intake or malabsorption, biochemical changes due to this lack
90 of folic acid/folate may result in deleterious consequences, including increased
91 risk for certain types of chronic diseases [17] and developmental disorders (e.g.,
92 neural tube defects) [18]. In this way, previous studies have shown that folate
93 deficiency is associated with higher incidence of mental symptoms in general
94 population and poor cognitive performance that may increase the risk of
95 dementia in old age [18, 19]. Particularly in major depression, low folic acid
96 levels are frequently described in clinical studies [20]. Corroborating these
97 findings, a variety of controlled and open-label studies have shown that the
98 efficacy of antidepressants is influenced by folate status and may be enhanced
99 by folic acid supplementation [21]. On the other hand, low folate intake or low
100 plasma folate concentration has also been associated with increased
101 cardiovascular and cerebrovascular risks [22]. All of these effects would be
102 related with high plasma levels of homocysteine, a cytotoxic sulfur-containing

103 amino acid that can induce DNA strand breakage, oxidative stress and
104 apoptosis [22].

105 Interestingly, folic acid supplementation might reduce the
106 hyperhomocysteinaemia [23, 24]. However, the supply of folate coenzymes in
107 vivo depends primarily on the quantity and bioavailability of ingested folic
108 acid/folate and the rate of loss by urinary and fecal routes and through
109 catabolism. Additionally, folate is highly susceptible to oxidative destruction. In
110 fact, 50–95% of folate content in food is estimated to be lost during storage,
111 preparation, or manufacturing processes [25].

112 The aim of this work was to design and evaluate zein nanoparticles as carriers
113 capable of improving the bioavailability of folic acid when orally administered.
114 For this purpose, these zein nanoparticles were prepared by an original
115 procedure and their capability to improve the oral bioavailability of folic acid was
116 evaluated and compared with a conventional aqueous solution of the vitamin in
117 rats.

118

119

120 **Materials and methods**

121 **Materials**

122 Zein, folic acid, lysine, arginine, pepsin, pancreatin, mannitol and sodium
123 chloride were purchased from Sigma-Aldrich (Steinheim, Germany). Ethanol,
124 acetonitrile and o-phosphoric acid (HPLC grade) were obtained from Merck
125 (Darmstadt, Germany). Perylene-Red (BASF Lumogen® F Red 305; Lumogen
126 red) was from Kremer Pigments Inc. (Aichstetten, Germany) and Tissue-Tek®
127 OCT compound from Sakura Finetek Europe (Alphen, The Netherlands). 4',6-
128 diamidino-2-phenylindole (DAPI) was obtained from Biotium Inc. (Hayward,
129 CA). Iodine 125 was from Perkin Elmer (USA). AccuDiag™ Folate-Folic acid
130 ELISA Kit was purchased from Diagnostic Automation/Cortez Diagnostics Inc.
131 (USA). Deionized water (18.2 MΩ resistivity) was prepared by a water
132 purification system (Wasserlab, Spain). All reagents and chemicals used were
133 of analytical grade.

134

135 **Preparation of zein nanoparticles**

136 Zein nanoparticles were prepared by a desolvation procedure followed by a
137 purification step by ultrafiltration and subsequent drying in a spray-drier
138 apparatus.

139

140 **Empty zein nanoparticles (NP-Z)**

141 Briefly, 600 mg zein and 100 mg lysine were firstly dissolved in 70 mL of a
142 mixture of ethanol and water (1:1 by vol.) under magnetic stirring at room
143 temperature. Then, nanoparticles were obtained by the continuous addition of
144 70 mL purified water. The suspension was purified and concentrated by
145 ultrafiltration using a membrane cartridge with a 50 kDa pore size polysulfone
146 (Medica SPA, Italy). Finally, 20 mL of an aqueous solution of mannitol (100
147 mg/mL) was added to the suspension of zein nanoparticles and the mixture was
148 dried in a Büchi Mini Spray Drier B-290 apparatus (Büchi Labortechnik AG,
149 Switzerland) under the following experimental conditions: (i) inlet temperature:

150 90°C, (ii) outlet temperature: 45-50°C, (iii) air pressure: 5 bar, (iv) pumping rate:
151 5 mL/min, (v) aspirator of 100% and (vi) air flow: 900 L/h.

152

153 **Folic acid-loaded zein nanoparticles (FA-NP-Z)**

154 The preparation of zein nanoparticles loaded with folic acid (FA-NP-Z) was
155 similar to that of the empty particles, with some minor adjustments. For this
156 purpose, 600 mg zein and 100 mg lysine were dissolved in 70 mL of a mixture
157 of ethanol and water (1:1 by vol.). In parallel, 200 mg folic acid was dissolved in
158 50 mL of an aqueous solution of lysine (4 mg/mL). Then, 15 mL of the aqueous
159 folic acid solution were added to the zein solution and the resulting mixture was
160 incubated at room temperature for 10 min under magnetic stirring. Finally, zein
161 nanoparticles were obtained by the addition of 70 mL purified water. The
162 suspension was purified and dried as described above.

163

164 **Characterization of nanoparticles**

165 **Size, zeta potential and morphology**

166 The mean hydrodynamic diameter and the zeta potential of the nanoparticles
167 were determined by photon correlation spectroscopy (PCS) and electrophoretic
168 laser Doppler anemometry, respectively, using a Zetaplus apparatus
169 (Brookhaven Instrument Corporation, USA). The diameter of the nanoparticles
170 was determined after dispersion in distilled water (1:10) and was measured at
171 25°C with a scattering angle of 90°. The zeta potential was measured after
172 dispersion of the dried nanoparticles in 1 mM pH 6 KCl solution.

173 The morphology and shape of nanoparticles was examined using a field
174 emission scanning electron microscope FE-SEM (ULTRA Plus, Zeiss, The
175 Netherlands). Prior to analysis, particles were washed to remove mannitol. For
176 this purpose, spray-dried nanoparticles were resuspended in distilled water and
177 centrifuged at 17,000 x g for 10 min. Then, the supernatants were discarded
178 and the obtained pellets were mounted on copper grids. Finally, the pellet was
179 shaded with an amalgam of gold/palladium during fifteen seconds using a
180 sputter coater (K550X Emitech, Ashford, UK).

181

182 **Yield of the preparative process**

183 In order to quantify the amount of protein transformed into nanoparticles, 10 mg
184 of the nanoparticle formulation were dispersed in water and centrifuged at
185 17,000 x g for 20 min. Supernatants were discarded and the pellets were
186 digested with ethanol 75%. Then, the amount of protein was quantified by UV
187 spectrophotometry at 278 nm in an Agilent 8453 system (Agilent Technologies,
188 USA). For analysis, calibration curves were constructed between 90 and 1200
189 µg/mL ($R^2 > 0.999$; quantification limit = 143 µg/mL).

190 The amount of protein forming nanoparticles in the formulation was estimated
191 as the ratio between the amount of the protein quantified in the pellet and the
192 total amount of zein used for the preparation of nanoparticles.

193

194 **Folic acid analysis**

195 The amount of folic acid loaded into the nanoparticles was quantified by HPLC-
196 UV using a previously described analytical method [26] with minor
197 modifications. Analyses were carried out in an Agilent model 1100 Series LC

198 System coupled to a diode-array detector set at 290 nm. The data were
199 analyzed using ChemStation G2171 v. B.01.03 software (Agilent, USA). The
200 chromatographic system was equipped with a reverse C18 Alltima column (150
201 mm x 2.1 mm, particle size 5 µm; Altech, USA) and a Gemini C18 precolumn
202 (particle size 5 µm; Phenomenex, CA, USA). The mobile phase, pumped at
203 0.25 mL/min, was a mixture of phosphoric acid (33 mM, pH 2.3) and acetonitrile
204 under gradient conditions [26]. The column was heated to 40°C and the
205 injection volume was 10 µL. Under these conditions, folic acid eluted at 21.2 ±
206 0.5 min. Calibration curves were designed over the range of 2 and 200 µg/mL
207 ($R^2 > 0.999$). The limit of quantification was calculated to be 4.3 µg/mL.
208 For analysis, 10 mg nanoparticles was dispersed in 1 mL water and centrifuged.
209 The amount of encapsulated folic acid was calculated by dissolution of the
210 pellets in 75% ethanol (1 mL). In parallel, the total amount of folic acid in the dry
211 formulations was quantified by direct digestion of 10 mg formulation with 1 mL
212 ethanol 75%. In all cases the samples were filtered through 0.45 µm
213 membranes before analysis. Each sample was assayed in triplicate and the
214 results are expressed as the amount of resveratrol (µg) per mg of nanoparticles.
215 The encapsulation efficiency (EE), expressed in percentage, was calculated as
216 the ratio between the amount of folic acid quantified in the pellets and the total
217 amount of folic acid quantified in the dry powder.

218

219 ***In vitro* release study**

220 Release experiments were conducted under sink conditions at 37°C using
221 simulated gastric fluid (SGF; pH 1.2; pepsin 0.32% w/v) and intestinal fluid (SIF;
222 pH 6.8; pancreatin 1% w/v). The studies were performed under agitation in a
223 Vortemp 56™ Shaking Incubator (Labnet International Inc., NJ, USA) after the
224 dispersion of the nanoparticles in the appropriate medium.

225 For each specific time interval, 20 µg folic acid formulated in nanoparticles were
226 resuspended in 1 mL of the corresponding simulated fluid. The different
227 formulations were kept in the SGF for 2 hours before being transferred to SIF.
228 At different intervals, samples were collected and centrifuged at 17,000 rpm for
229 20 minutes. The amount of folic acid released was quantified by HPLC from the
230 supernatants as described above.

231

232 **Zein nanoparticles labelling**

233 **Radiolabelling of zein nanoparticles (¹²⁵I-NP-Z)**

234 Zein nanoparticles were radiolabelled with Iodine-125 (¹²⁵I Na) by standard mild
235 oxidative iodination. For this purpose, 10 mg empty zein nanoparticles were
236 tagged with 2 iodobeads and 3.5 µL of ¹²⁵I Na in 600 µL of a mixture between
237 PBS and water for injection (1:2 by vol). After 15 min of incubation, iodine zein
238 nanoparticles (¹²⁵I-NP-Z) were obtained.

239 The stability of the radiolabelling was evaluated by TLC. For this purpose, ¹²⁵I-
240 NP-Z in dialysis cassettes were introduced in aqueous media and the presence
241 of free iodide was revealed by TLC.

242

243 **Lumogen red loaded in zein nanoparticles (LR-NP-Z)**

244 Zein nanoparticles were fluorescently labelled with Lumogen® F Red 305 (LR-
245 NP-Z). Briefly, 2 mg Lumogen® red in acetone (5 mL) were added to the

246 hydroalcoholic solution of zein and lysine. Then, zein nanoparticles were formed
247 by the addition of 70 mL purified water. The resulting nanoparticles were
248 purified and dried under the same conditions described above.

249 The amount of Lumogen® F Red 305 was determined by colorimetry at
250 wavelength 540 nm in a spectrophotometer Agilent 8453 system (USA). For this
251 purpose, 10 mg of the formulations were re-suspended in purified water and
252 centrifuged at 17,000 rpm for 20 min. Pellets were then dissolved in ethanol
253 75%. For quantification, standard curves of Lumogen red in ethanol 75% were
254 used (concentration range of 5-30 µg/mL $R^2 \geq 0.999$).

255 Prior the use of fluorescently labelled nanoparticles for in vivo studies, the
256 stability of the marker in the nanoparticles was assessed by incubation in
257 simulated gastric (pH 1.2) and intestinal (pH 6.8) fluids.

258

259 ***In vivo* distribution study**

260 All of these studies were performed in male Wistar rats obtained from Harlan
261 (Barcelona, Spain) and the protocols were approved by the Ethical Committee
262 for Animal Experimentation of the University of Navarra (protocol number 117-
263 12 and 059-13). Prior to the experiment, animals were placed in metabolic
264 cages and drink provided *ad libitum*.

265 For radiolabelled nanoparticles, animals (200-250 g) received a 1 mL single
266 dose of an aqueous suspension of nanoparticles (10 mg of ^{125}I -NP-Z). As
267 control, an aqueous suspension of ^{125}I was administered by oral route. Animals
268 were anesthetized with isoflurane and place in prone position on the
269 gammacamera. The gammagraphic studies were performed in a E.cam Dual-
270 Head-Variable-Angle System gammacamera (Siemens Medical Systems, USA)
271 The images were obtained 2, 24 and 48 hours after the administration of the
272 radiolabelled nanoparticles.

273 For fluorescently labelled nanoparticles, a protocol previously described was
274 used [27]. Thus, the animals received orally a single dose of 30 mg
275 nanoparticles (LP-NP-Z) dispersed in 1 mL water. Two hours later, the animals
276 were sacrificed and guts were removed. Jejunum portions of 1 cm were
277 collected, cleaned with PBS, stored in the tissue proceeding medium O.C.T.
278 and frozen at -80°C . Each portion was then cut into 5-µm sections on a cryostat
279 and attached to glass slides. Finally, these samples were fixed with
280 formaldehyde and incubated with DAPI (4',6-diamidino-2-phenylindole) for 15
281 minutes before the cover assembly. The presence of both fluorescently loaded
282 poly(anhydride) nanoparticles in the intestinal mucosa and the cell nuclei dyed
283 with DAPI were visualized in a fluorescence microscope (Axioimager M1, Zeiss)
284 with a coupled camera (AxioCam ICc3, Zeiss) and fluorescent source (HBO
285 100, Zeiss). The images were captured with the software ZEN (Zeiss).

286

287 ***In vivo* pharmacokinetic studies in male Wistar rats**

288 **Pharmacokinetic studies**

289 Pharmacokinetic studies were performed in male Wistar rats (200-250 g)
290 obtained from Harlan (Barcelona, Spain). Studies were approved by the Ethical
291 Committee for Animal Experimentation of the University of Navarra (protocol
292 number 014-10) in accordance with the European legislation on animal
293 experiments. Prior to the experiment, animals were adaptively fed for 1 week

294 with free access to a Folic Acid deficient diet (TD 95247, Harlan, USA) and
295 drinking water ($22\pm 2^{\circ}\text{C}$; 12-h light and 12-h dark cycles; 50-60% relative
296 humidity). Previous to the oral administration of the formulations, animals were
297 fasted overnight to avoid interference with the absorption, allowing free access
298 to water.

299 For the pharmacokinetic study, rats were randomly divided into 4 groups of 6
300 animals each. The experimental groups were an aqueous solution of folic acid
301 extemporaneously prepared (FA dissolved in PBS) and folic acid-loaded zein
302 nanoparticles (FA-NP-Z) dispersed in water. As controls, a group of animals
303 was intravenously administered with a solution of folic acid in PBS and the last
304 group of rats received PBS (without folic acid) orally. The single folic acid
305 administered dose was 1 mg/kg body weight either orally with a blunt needle via
306 the oesophagus into the stomach or intravenously via tail vein.

307 Blood samples were collected at set times after administration in specific serum
308 tubes (SARSTEDT Microtube 1,1 mL Z-Gel). Volemia was recovered
309 intraperitoneally with an equal volume of sterile saline solution pre-heated to
310 body temperature. Samples were immediately centrifuged at 10,000 rpm for 10
311 min. Serum was separated into clean tubes and kept frozen at -80°C until
312 analysis.

313

314 **Determination of folic acid in serum**

315 The amount of folic acid in serum was determined by an Enzyme
316 Immunoassay. Calibrator and quality control samples were prepared by adding
317 appropriate volumes of standard folic acid solution in PBS to serum. Calibration
318 curves were designed over the range 4-450 ng/mL ($R^2 > 0.996$). For analysis,
319 100 μL of the serum samples were added to each well of the microtiter plate,
320 followed by the addition of 50 μL folic acid antibody. After incubation for 60 min
321 at room temperature, the plate was washed three times with the washing
322 solution (PBS-Tween 20 0.5%). Then, 100 μL conjugate (anti-mouse-IgG-HRP)
323 was added into each well and after 60 min at room temperature, the plate was
324 washed again for three times with the washing solution. For the reaction, 100
325 μL of substrate was added into each well and incubated in the dark for 20 min
326 at room temperature. The reaction was stopped by the addition of 100 μL
327 sulphuric acid 0.5 M into each well. Finally, the absorbance was measured at
328 450 nm in an ELISA reader (Labsystems iEMS Reader MF).

329 Under these experimental conditions, the limit of quantification of this method
330 was calculated to be 4 ng/mL. The recovery of folic acid from serum samples
331 was $90.1 \pm 0.3\%$. Accuracy values during the same day (intraday assay) at low,
332 medium and high concentrations of FA were always within the acceptable limits
333 (less than 15%).

334

335 **Pharmacokinetic data analysis**

336 The pharmacokinetic analysis of serum concentration plotted against time data
337 was performed using a non-compartmental model with the WinNonlin 5.2
338 software (Pharsight Corporation, Mountain View, USA). The following
339 parameters were estimated: maximal serum concentration (C_{max}), time taken to
340 reach C_{max} (T_{max}), area under the concentration-time curve from time 0 to ∞
341 (AUC), mean residence time (MRT), clearance (Cl), volume of distribution (V)

342 and half-life in the terminal phase ($t_{1/2}$). Furthermore, the relative oral
343 bioavailability (F_r , expressed in percentage) of folic acid was estimated as the
344 ratio between the areas under the curve for the oral (AUC_{oral}) and intravenous
345 (AUC_{iv}) administrations.

346

347 **Statistical analysis**

348 The data are expressed as the mean \pm standard deviation (SD) of at least three
349 experiments. The non-parametric Kruskal-Wallis followed by Mann-Whitney U-
350 test with Bonferroni correction was used to investigate statistical differences. In
351 all cases, $p < 0.05$ was considered to be statistically significant. All data
352 processing was performed using SPSS® statistical software (SPSS® 15,
353 Microsoft, USA).

354

355

356 **Results**

357 **Folic acid loaded zein nanoparticles**

358 Table 1 summarizes the main physicochemical properties of folic acid-loaded
359 nanoparticles. When folic acid was encapsulated into zein nanoparticles, a
360 moderate increase in the mean size of the resulting carriers was observed
361 (about 164 nm for empty nanoparticles vs 193 nm for FA-NP-Z); whereas the
362 negative zeta potential decreased from -46 mV (control nanoparticles) to -30
363 mV (folic acid-loaded nanoparticles). The folic acid loading into the zein
364 nanoparticles (FA-NP-Z) was calculated to be around 54 $\mu\text{g}/\text{mg}$ nanoparticle,
365 with encapsulation efficiency close to 57%.

366 The morphological analysis by scanning electron microscopy (Figure 1) showed
367 that folic acid-loaded zein nanoparticles consisted of homogeneous populations
368 of spherical nanoparticles with a smooth surface and an apparent similar size to
369 that obtained by photon correlation spectroscopy.

370

371 Table 1

372

373 Figure 1

374

375 ***In vitro* release study**

376 Figure 2 represents the release profile of folic acid from the zein nanoparticles
377 formulations as cumulative percentage of the vitamin released as a function of
378 time. When nanoparticles were incubated in SGF, no release of folic acid was
379 observed. On the contrary, when zein nanoparticles were assayed in SIF, the
380 release of folic followed a profile characterized by two different steps. In the first
381 one, approximately 70% of the loaded folic acid was rapidly released. Then,
382 after this burst effect, the remaining vitamin was released in a sustained way up
383 to the end of the experiment (24 hours).

384

385 Figure 2

386

387 ***In vivo* distribution study of ¹²⁵I-NP-Z and Lumogen-NP-Z in the gut**
388 **mucosa**

389 Figure 3 shows the biodistribution (SPECT-CT images) of free 125-iodine
390 (Figure 3A) and zein nanoparticles radiolabelled with 125-iodine (Figure 3B)
391 orally administered to rats.

392 For those animals treated orally with the control (free 125-iodine), the
393 radioactivity was always found in their stomach and thyroid. On the other hand,
394 the radioactivity associated to zein nanoparticles was visualized in the stomach
395 2 hours after administration; although, twenty two hours later the radioactive
396 signal was also found at the thyroid and the distal areas of the colon. Finally, 48
397 hours after administration, the remaining activity was observed in the thyroid
398 and stomach of animals, in which the signal was significantly lower than that
399 observed at the previous times. The activity in the thyroid demonstrates *in vivo*
400 physiologic de-iodination of iodine labelled nanoparticles.

401

402 Figure 3

403

404 Figure 4 shows fluorescence microscopy images of jejunum samples of animals
405 treated with Lumogen® red formulations. Control formulation (an aqueous
406 suspension of the fluorescent marker) was observed in the lumen of the small
407 intestine of animals as large aggregates (Figure 4A) and no fluorescence was
408 visualized in the vicinity of the intestinal epithelium (Figure 4B). On the contrary,
409 when the fluorescent marker was encapsulated in zein nanoparticles,
410 fluorescence appeared to be in the protective mucus layer, covering the surface
411 of the intestinal epithelium (Figures 4C and 4D).

412

413 Figure 4

414

415 **Pharmacokinetic studies in Wistar rats**

416 When folic acid was administered orally as aqueous solution, the levels of the
417 vitamin in the sera of animals increased rapidly during the first 1 h post-
418 administration, in which the C_{max} was reached (Figure 5). Then, the vitamin
419 levels decreased slowly until the end of the experiment (24 h post-
420 administration). For the formulation based on zein nanoparticles, the levels of
421 folic acid in the sera of animals displayed a similar profile to that observed for
422 the free folic acid (FA solution). However, the serum levels of the vitamin from
423 nanoparticles were significantly higher than those observed for the aqueous
424 solution of folic acid.

425

426 Figure 5

427

428 Table 2 summarizes the pharmacokinetic parameters derived from the analysis
429 of the data obtained after the administration of the different folic acid
430 formulations to rats. When folic acid was administered orally as aqueous
431 solution, the AUC was 1.4 $\mu\text{g h/mL}$; whereas, this parameter was 3.0 $\mu\text{g h/mL}$
432 when the vitamin was given after its encapsulation in zein nanoparticles.
433 Similarly, the peak plasma concentration (C_{max}) of folic acid in the nanoparticles

434 was around 2- times higher than for the vitamin aqueous solution. On the
435 contrary, other important pharmacokinetic parameters of folic acid (e.g., volume
436 of distribution, clearance or half-life of the terminal phase) were similar when the
437 vitamin was administered as aqueous solution or loaded in zein nanoparticles.
438 Finally, the relative oral bioavailability of folic acid when incorporated in zein
439 nanoparticles was of about 70%, whereas for the folic acid aqueous solution the
440 oral bioavailability was only of 35%.

441

442 Table 2

443

444 Discussion

445 Folic acid, as other weak acid compounds, possesses a pH-dependent aqueous
446 solubility, being insoluble in aqueous media below pH 5 [28]. *In vivo*, the pH of
447 the stomach contents may induce the precipitation of the vitamin in macroscopic
448 aggregates that, once in the small intestine (pH around 5-6), would be (at least
449 in part) re-dissolved. However, in these pH conditions, and because of the
450 hydrophilic nature of the charged molecule, specific transporters are required
451 for folic acid absorption. These highly specific transporters (the reduced folate
452 carrier, RFC, and the proton-coupled folate transporter, PCFT) are expressed at
453 the apical brush-border membrane of the proximal jejunum [29] in which the
454 absorption of the vitamin takes place [30].

455 On the other hand, zein is a biodegradable and biocompatible material,
456 economic to use and with a “GRAS” status [31]. In addition, zein is an
457 amphiphilic protein with an important ability to interact with solutes like drugs
458 [32] or amino acids [33]. Moreover, zein displays mucoadhesive properties [12]
459 and a relatively high resistance to the effect of digestive enzymes [3].

460 In this work, zein nanoparticles were prepared under mild conditions by a
461 desolvation technique after the addition of water to a hydroalcoholic solution of
462 the protein and lysine. Then nanoparticles were purified and, finally, dried in a
463 spray-drier apparatus. The presence of lysine was necessary to facilitate the
464 redispersion of the dry powder in water by simple manual agitation. Under these
465 experimental conditions, folic acid-loaded zein nanoparticles displayed a mean
466 size after reconstitution of about 200 nm with a negative zeta potential of -24
467 mV and a low polydispersity index (Table 1). The folic acid content was close to
468 54 µg/mg nanoparticles, which is approximately 3-times higher than the payload
469 reported by Perez-Masiá and collaborators who used nano- and microcapsules
470 from either whey protein or starch [34].

471 Interestingly, the release of folic acid from zein nanoparticles was found to be
472 dependent of the pH conditions. Thus, under simulated gastric conditions, folic
473 acid was not released from zein nanoparticles. Nevertheless, when
474 nanoparticles were incubated in SIF, a burst effect of approximately 70% of the
475 folic acid content was observed (Figure 2). These findings would be directly
476 related with the fact that the solubility of folic acid in water is dependent on its
477 ionization (pKa values of 4.65, 6.75 and 9 [35, 36]). In SIF, the two carboxylic
478 acid groups of folic acid would be deprotonated, resulting in a negative net
479 charge similar to that observed for zein nanoparticles. Thus the repulsion
480 between ionized folic acid and zein would result in a rapid release of the vitamin
481 from the nanoparticles. On the other hand, after this burst effect of folic acid in

482 SIF, the remaining vitamin (around 30%) was slowly released till the end of the
483 experiment (Figure 2). This fact might be related with the capability of folic acid
484 to bind to proteins, such as albumins [37] and caseins [38], through hydrogen
485 and hydrophobic bonds. To the best of our knowledge, there is no information
486 suggesting the presence of binding sites for folic acid in zein; however, in
487 accordance with the release data, we can hypothesize that a fraction of the
488 loaded folic acid would be stabilized within the protein matrix by non-covalent
489 binding interactions. Therefore, the remaining fraction would be released slowly
490 during the degradation of the nanoparticles.

491 In the present study, the relative oral bioavailability of folic acid formulated as
492 aqueous solution was calculated to be 35%. The highest serum concentration of
493 folic acid occurred 1 hour after the administration, and values returned to
494 baseline after 24 hours. These results are in line with previous data reported in
495 the literature by other research groups [39, 40]. On the other hand, zein
496 nanoparticles provided higher folic acid levels than the aqueous solution. As a
497 consequence, the relative oral bioavailability of folic acid when administered
498 after its encapsulation in zein nanoparticles was calculated to be close to 70%
499 and 2-times higher than when administered as oral solution (Table 2). Other
500 important aspects to highlight are that both the serum curve profiles (Figure 5)
501 and the primary pharmacokinetic parameters (volume of distribution, clearance,
502 serum half-life) of folic acid were independent on the formulation tested
503 (aqueous solution and zein nanoparticles). Thus, the differences in the oral
504 bioavailability of the vitamin might only be due to the capabilities of zein
505 nanoparticles to both give protection against the macroscopic aggregation by
506 precipitation of the vitamin in acidic conditions and act as carriers to transport
507 the folic acid to the absorptive membrane.

508 When orally administered, zein nanoparticles remained within the
509 gastrointestinal tract for a period of at least 24 h post-administration (Figure 3).
510 The absence of signals in the liver, spleen and lungs of animals suggested that
511 zein nanoparticles were not capable of entering into the circulation from the gut
512 (Figure 3). Within the gastrointestinal tract of animals, and in accordance with
513 data from fluorescently labelled nanoparticles, these carriers would be capable
514 of interacting with the mucus layer protecting the epithelium surface (Figures 4C
515 and 4D). This last observation would be in line with previous data suggesting
516 the mucoadhesive properties of zein [12, 41, 42].

517 To sum up, zein nanoparticles would be capable of transporting the cargo to the
518 small intestine. Once there, the mucoadhesive properties of zein would be
519 responsible for an increase in the residence time of these carriers in the upper
520 region of the gastrointestinal tract, in which the absorption of folic acid is
521 favored [29, 30].

522

523

524 **Conclusions**

525 Zein nanoparticles offer adequate properties for oral delivery purposes. Orally
526 administered, these nanoparticles are localized within the gut in close contact
527 with the gut mucosa. Regarding folic acid, its encapsulation in zein
528 nanoparticles improved its relative oral bioavailability about 2-fold when
529 compared with an aqueous solution of the vitamin. This fact would be related

530 with the capability of these nanoparticles to reach the small intestine mucosa
531 and develop mucoadhesive interactions.

532

533

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664

665 **Figure Captions**

666

667 Figure 1. Scanning electron microscopy (SEM) microphotographs obtained from
668 folic acid-loaded zein nanoparticles.

669

670 Figure 2. Folic acid release profile from zein nanoparticles after incubation in
671 simulated gastric fluid (0-2 h) and intestinal fluid (2-48 h) under sink conditions.
672 Data are expressed as the mean \pm SD, n=3.

673

674 Figure 3. Biodistribution of ¹²⁵Iodine control and radiolabelled zein
675 nanoparticles. Panels A and B show gammacamera images after oral
676 administration of ¹²⁵Iodine (3A) and 10 mg ¹²⁵I-NP-Z (3B) at 2, 24 and 48
677 hours post administration.

678

679 Figure 4. Fluorescence microscopy images of jejunum samples 2 hours after
680 the oral administration of either a Lumogen® red aqueous suspension (A and B)
681 or zein nanoparticles fluorescently labelled with Lumogen® red. Nuclei of cells
682 were stained blue with DAPI.

683

684 Figure 5. Folic acid serum concentration vs time after a single oral
685 administration of 1 mg/kg for the different formulations tested. i) Folic acid
686 solution in PBS (●; FA sol), ii) Folic acid loaded in zein nanoparticles (▲; FA-
687 NP-Z). Data expressed as the mean \pm SD; (n= 6).

688

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692

693 **Tables**

694 Table 1. Physicochemical characterization of zein nanoparticles. NP-Z: empty
695 nanoparticles; FA: folic acid; FA-NP-Z: folic acid-loaded zein nanoparticles.
696 Data expressed as mean \pm SD, n=3.

697

	Size ^a (nm)	PDI (nm)	Zeta Potential ^b (mV)	FA loading ^c (μ g FA/ mg NP)	EE ^d (%)
NP-Z	164 \pm 2	0.07 \pm 0.01	-46.0 \pm 1.5	-	-
FA-NP-Z	193 \pm 3	0.20 \pm 0.06	-29.3 \pm 3.1	54 \pm 7	57 \pm 6

698 ^a Determination of the nanoparticle size (nm) by photon correlation spectroscopy699 ^b Determination of the zeta potential (mV) by electrophoretic laser Doppler anemometry700 ^c Amount of folic acid loaded in the nanoparticles (μ g FA/mg nanoparticles)701 ^d Encapsulation efficiency (%)

702

703

704 Table 2. Pharmacokinetic parameters of folic acid administered as single dose of 1 mg/kg by the intravenous or oral routes as
 705 aqueous solution or loaded in zein nanoparticles. Data are expressed as mean \pm S.D, (n=6).
 706

	Route	C _{max} ($\mu\text{g/mL}$)	T _{max} (h)	AUC ($\mu\text{g h/mL}$)	T _{1/2} (h)	Cl (L/h)	Vd (L)	MRT (h)	Fr (%)
PBS	oral	-	-	-	-	-	-	-	-
FA iv	iv	5.5 \pm 2.7 **	0.0	3.7 \pm 0.4 **	1.2 \pm 0.6	0.06 \pm 0.01	0.10 \pm 0.05	0.9 \pm 0.2 **	100
FA sol	oral	0.2 \pm 0.0	1.0 \pm 0.6	1.3 \pm 0.3	5.9 \pm 1.9	0.06 \pm 0.02	0.44 \pm 0.07	5.7 \pm 1.6	35
FA-NP-Z	oral	0.4 \pm 0.1 *	1.0 \pm 0.0	3.0 \pm 1.0 *	7.1 \pm 2.6	0.05 \pm 0.01	0.49 \pm 0.11	6.5 \pm 1.3	70

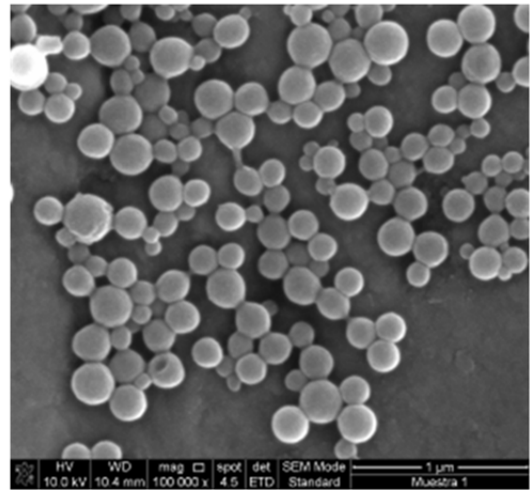
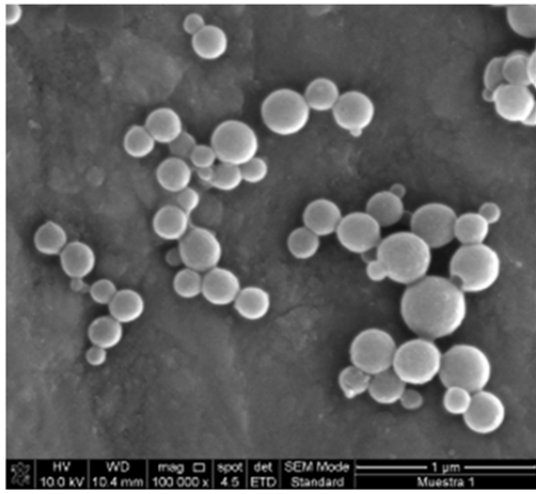
707 C_{max}: peak plasma concentration; T_{max}: time to reach plasma concentration; AUC: area under the curve; t_{1/2}: half-life of the terminal phase; Cl:
 708 clearance; Vd: volume of distribution; MRT: mean residence time Fr: relative oral bioavailability

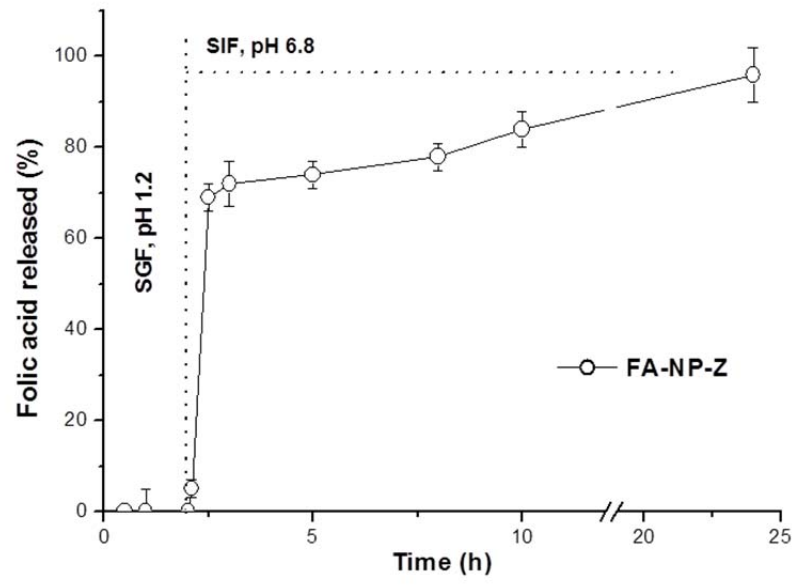
709 * Significant differences (p<0.05) vs FA sol (Mann-Whitney-U)

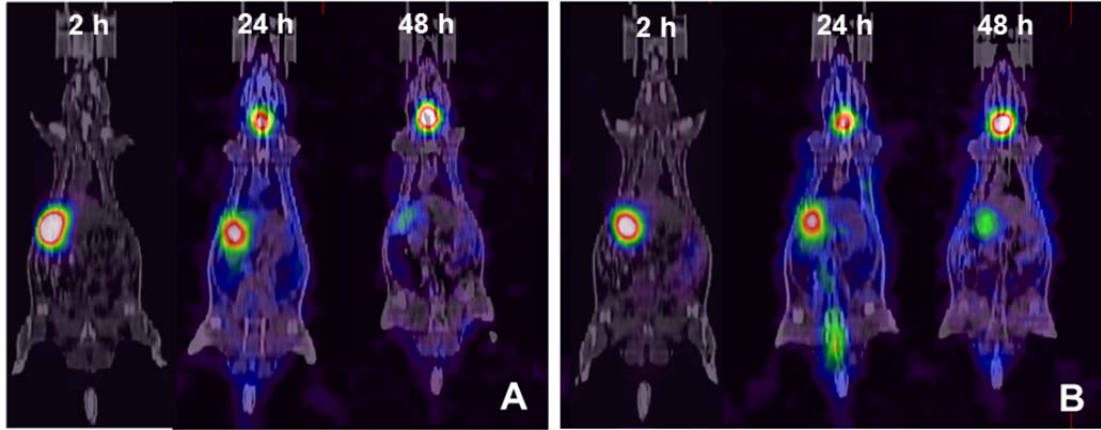
710 **Significant differences (p<0.01) vs FA sol (Mann-Whitney-U)

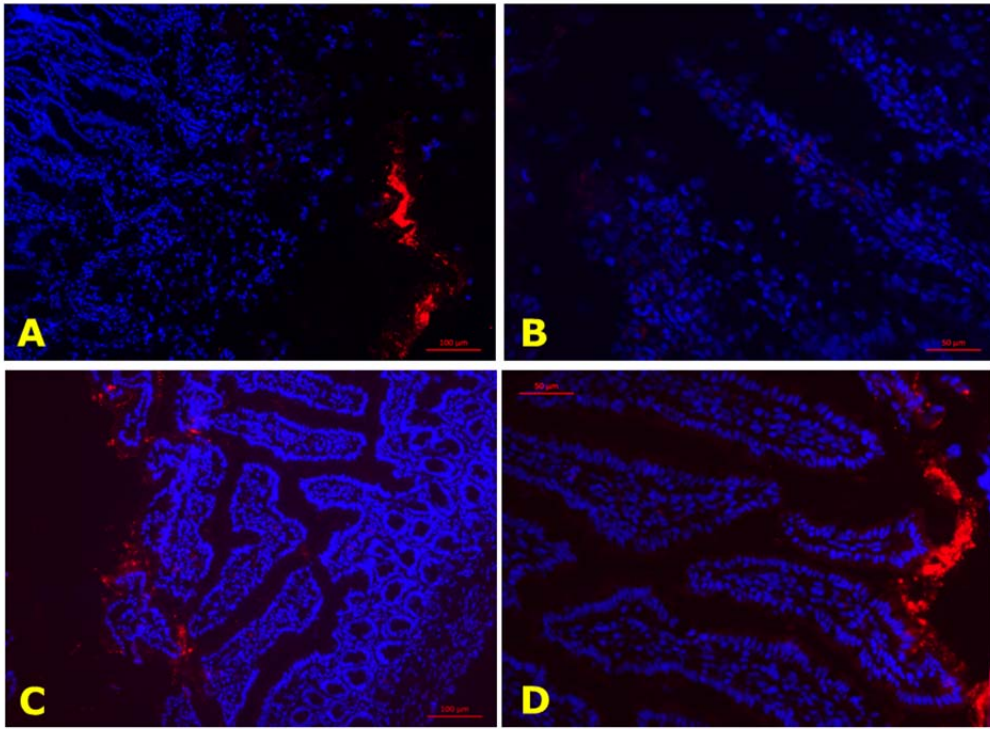
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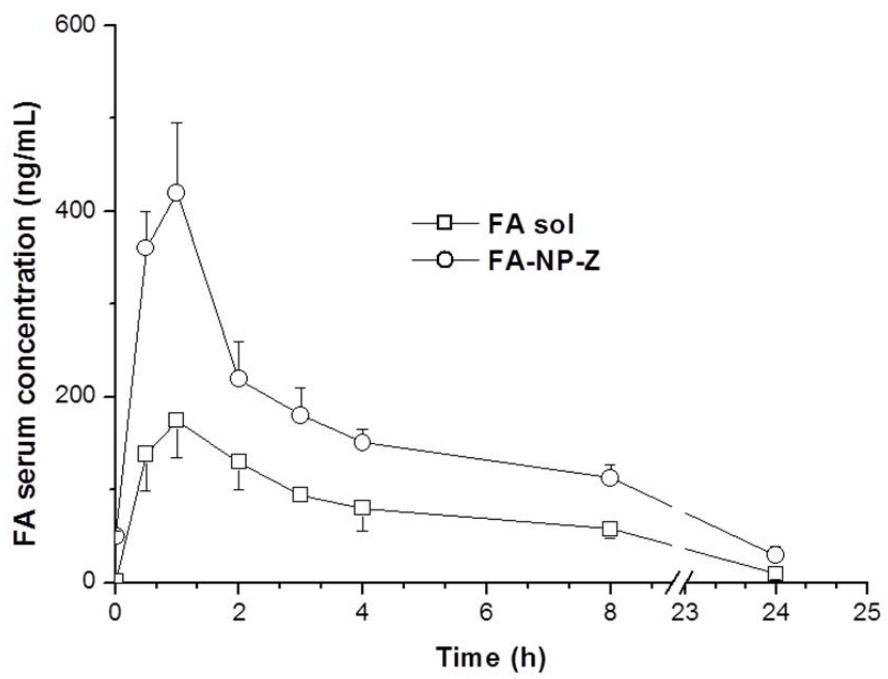
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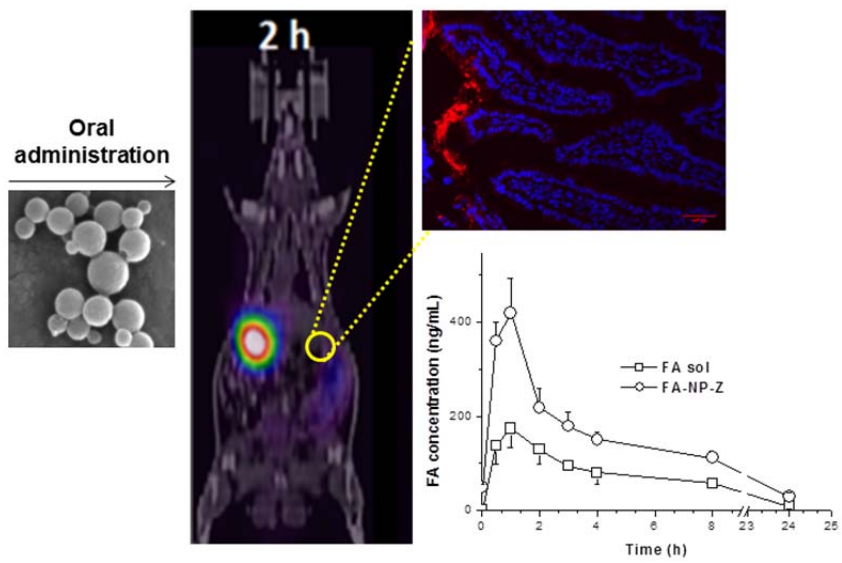














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